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(WO/2003/072664) INK COMPOSITIONS CONTAINING LANTHANIDE COMPLEX

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**INK COMPOSITIONS CONTAINING LANTHANIDE COMPLEXES** The present invention relates to ink compositions containing lanthanide complexes, a system for marking items with a hidden mark and a method for providing hidden

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There is a need for applying hidden marks which can only be revealed under UV exposure on a variety of art objects.

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Thus, security markings are needed on envelopes, checks, bank bills shares, securities, stamps, identity cards, certificates etc.

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Textiles are also furnished with such covert designs which may act as security markings or as special decorations that become visible under UV radiation.

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WO 97/10307 discloses a jet ink composition suitable for marking on white or light coloured substrates comprising a fluorescent colorant which is a rare earth metal organic chelate. Those fluorescent colorants are excited by radiation in the range of from about 275 nm to about 400 nm and fluoresce in the visible range. However, the luminescence and the quantum yield do not meet the most superior requirements.

- ### Relate:

It is therefore an object of the present invention to provide an ink composition comprising a colorant which is unaided eye but yields a strong luminescence under UV exposure and which composition can be used for all applications on various substrates such as textiles including leather, cellulosic materials, metals, plastic materials, substrates coated with an oligomeric or polymeric matrix and other porous materials.

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The invention relates to an ink composition containing (a) a compound of formula I Lm-Ln3+ (Ch-) n (1), where L is a lanthanide, Ch- is a negatively charged ligand containing at least one UV absorbing double bond, n denotes a number from 1 to 4, in case n is 3, m denotes a number from 1 to 4 and L is a neutral monodentate or polydentate oxo- or sulfur-containing UV absorbing ligand with the exception of 2, 2'-biimidyl or, in case n is 4, m denotes a number from 1 to 3 and L is a neutral monodentate or polydentate oxo- or sulfur-containing UV absorbing ligand with the exception of 2, 2'-biimidyl or, in case n is 1, m denotes a number from 1 to 3 and L is a neutral monodentate or polydentate oxo- or sulfur-containing UV absorbing ligand with the exception of 2, 2'-biimidyl or, in case n is 2, m denotes a number from 1 to 2 and L is a neutral monodentate or polydentate oxo- or sulfur-containing UV absorbing ligand with the exception of 2, 2'-biimidyl.

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single-charged cation; and (b) a liquid ink carrier.

The compounds of formula I can basically contain any monodentate or polydentate nitrogen-, oxygen- or sulfur-absorbing ligand such as, for example, unsubstituted or substituted pyridine, pyrazine, quinoline, aniline, phenyl, 2-terpyridine, imidazole, benzimidazole, bisimidazole, bisbenzimidazole, pyrimidine, bipyrimidine, naphthyridine, oxazol, bisoxazole, oxazoline, bisoxazoline and substituted derivatives thereof and all relevant (poly) N-oxidized ligands.

Since L can be a polychelating ligand, like for example 4, 4'-bipyridyl, the compounds of formula I and 11 include chelate, such as for example the compound of formula (XIV), containing two  $M^{n+}$ - (diketone) 3 or  $M^{m+}$ - (carboxylic acid) connected via a bidentate ligand : Preferred ink compositions contain as component (a) a compound of formula I wherein Ln represents a lanthanide, n denotes 3 or 4, m denotes a number from 1 to 4 in case n is 3, m denotes 1 to 4 and L is a neutral monodentate or polydentate nitrogen-, oxygen- or sulfur-containing UV-absorbing ligand, of 2, 2'-bipyridyl or, in case n is 4, m denotes 1 and L is a single-charged cation, R2, is hydrogen or C1-C6alkyl, R3, R4, R5 and R6 are each independently of the other hydrogen, C1-C6alkyl, CF3, C5-C24aryl or C4-C24heteroaryl.

Preferably L is a nitrogen-containing ligand.

Particularly preferred are compounds of formula I, wherein L is a compound of formula V, VI, VII, VIII or IX wherein R6 are each independently of the other hydrogen, halogen, C1-C6alkyl, C5-C24aryl, C6-C24aralkyl, C1-C6alkyl, a cyclic amino group and R7 is hydrogen, C1-C6alkyl, C5-C24aryl or C6-C24aralkyl.

Alkyl groups as substituents R<sub>4</sub> to R<sub>7</sub> can be straight-chain or branched. Examples that may be mentioned are propyl, isopropyl, n-butyl, sec-butyl, isobutyl, tert-butyl, n-pentyl, neopentyl, isopentyl, n-hexyl and isohexyl.

Alkoxy groups as substituents R<sub>4</sub> to R<sub>6</sub> can be, for example, methoxy, ethoxy, n-propoxy, isopropoxy, n-butoxy.

Examples of C5-C24aryl groups are phenyl, tolyl, mesityl, isityl, diphenyl, naphthyl and anthryl.

Phenyl is preferred.

Heteroaryl group preferably contain 4 or 5 C atoms and one or two heteroatoms selected from O, S and N. Examples are furanyl, thiophenyl, oxazolyl, thiazolyl, pyridyl, pyrazinyl, pyrimidinyl, pyridazinyl, indolyl, purinyl or chinolyl.

Aralkyl groups as substituents R<sub>4</sub> to R<sub>7</sub> can be, for example, benzyl, 2-phenylethyl, tolylmethyl, mesitylmethyl, chlorophenylmethyl.

Suitable dialkylamino groups are, for example, diethylamino, diisopropylamino, di-n-propylamino, N-methyl-N-alkylamino, in particular, dimethylamino or pyrrolidino.

Suitable cyclic amino groups are pyrrolidino and piperidino.

Halogen atoms as substituents R<sub>4</sub> to R<sub>6</sub> are preferably fluorine, chlorine or bromine, but in particular chlorine.

Preferred ink compositions according to the invention contain as component (a) a compound of formula I, where Ln represents a lanthanide, n denotes 3 or 4, m denotes a number from 1 to 4 in case n is 3, m denotes 1 to 4 and L is a neutral monodentate or polydentate nitrogen-, oxygen- or sulfur-containing UV-absorbing ligand, of 2, 2'-bipyridyl or, in case n is 4, m denotes 1 and L is a single-charged cation, R2, is hydrogen or C1-C6alkyl, R3, R4, R5 and R6 are each independently of the other hydrogen, C1-C6alkyl, CF3, C5-C24aryl or C4-C24heteroaryl.

Preferred components (a) are compounds of formula I wherein Ln is Eu, Tb or Dy.

Furthermore, compounds of formula II, III or IV are preferred, wherein R<sub>1</sub> and R<sub>3</sub> are methyl, t-butyl, n-pentyl,

R<sub>2</sub> in formula II is preferably hydrogen.

Particularly preferred as component (a) are the compounds of formula X, XI, XII, XIII, XIV or XV.

For certain applications it is recommendable to use a combination of different lanthanides, for example Eu and Tb. This increases the degree of security of the hidden marks, the sophistication of the security level and multiplies the number of possible combinations.

The compounds of formula I are known, for instance from WO 96/20942, or can be prepared by methods known in the art. For example, a ligand such as acetylacetone, benzoylacetone, dibenzoylmethane, dipivaloylmethane, salicylic acid, caproic acid and caprylic acid can be reacted under suitable conditions with a rare earth metal halide such as europium trichloride to form a rare earth metal chelate. Further details can be found in U. S. Patent No.

4,736,425.

The rare earth metal chelate so obtained can be further reacted with the monodentate or polydentate nitrogen containing ligand L thus yielding the rare earth metal chelate compounds of formula II, III and IV.

This reaction is described, for example, in WO 96/20942.

Any suitable ink carrier known in the art of printing compositions, including aqueous and organic carriers and mixtures thereof, can be used to prepare the ink compositions of the present invention. The carrier should have sufficient solvency for the compounds of formula I or II and, where appropriate, for the additional ingredients of the ink compositions.

In addition, the carrier should be easily dissipatable from the printed article by evaporation and/or absorption. Suitable organic carriers include alcohols, glycols, ether alcohols, sulfoxides, amides, amines, heterocyclic solvents, ethers, esters, nitriles and aliphatic, cycloaliphatic and aromatic hydrocarbons.

Examples of suitable organic solvents are methanol, ethanol, n-propanol, isopropanol, n-butanol, glycerol, ethylene glycol, propylene glycol, diethylene glycol, dipropylene glycol, polyethylene glycol, polypropylene glycol, ethylene glycol, ethoxybutanol, dimethylsulfoxide (DMSO), dimethylformamide (DMF), dimethylacetamide (DMA), N-methyl-2-pyrrolidinone, acetone, 2-butanone, diethylether, di-n-propylether, tetrahydrofuran (THF), ethyl acetate, ethyl propionate, n-pentane, n-hexane, cyclohexane, benzene and toluene.

Preferably the ink carrier (b) is an organic solvent, a mixture of two or more organic solvents or a mixture of two or more organic solvents.

For solvent-based applications it is advisable to employ alcohols, ketones, esters, polyethers or aromatic hydrocarbons as the solvent and mixtures of the aforementioned solvents as ink carrier.

Solvents which are miscible with water, like alcohols, glycols, ether alcohols, nitriles, DMSO, DMF, DMA, NNK, etc., are particularly suited for aqueous applications.

Preferred organic solvents are dimethyl sulfoxide, glycerol, acetone, dimethylacetamide (DMA), N-methylpyrrolidone (NMP), aliphatic or aromatic ketones, aromatic hydrocarbon esters and aliphatic polyethers.

Glycerol, ethylene glycol and propylene glycol are especially preferred organic solvents.

The ink compositions according to the invention may additionally comprise one or more binder resins (c).

The binder resin serves to immobilize or increase the adhesion of the colorant, particularly on non-porous materials for example plastic, metallic materials and glassine materials.

Any suitable binder resin can be used. Preferably the binder resin is soluble, dispersible or emulsifiable in the ink. It is further preferred that the binder resin has sufficient adhesion to the substrate following the dissipation of the ink.

Examples of suitable binder resins include alkyls, acrylic, acrylates, acrylic latexes, epoxy resins, polyvinyl chloride, polyurethanes, vinyl resins, polyvinyl acetates, polyvinylalcohol, polyvinylbutyral, PVC, chlorinated rubber, polyethyleneglycol esters of fatty acids, polyalkenes such as polyethylene, polypropylene and polybutylene, methylstyrene, copolymers of polyethylene with vinyl acetate, polysulfones, polyesters, polysiloxanes, styrene-acrylic copolymers, polyacrylics, polyacrylates such as polymethylacrylate and polymethylmethacrylate, nitrocellulose ethers such as methylcellulose and ethylcellulose, and mixtures thereof.

The compositions according to the invention are well compatible with all conventional aqueous and solvent-based formulations known in the art. Some of these printing formulations are commercially available.

In preferred ink compositions according to the invention the binder resin (c) is selected from the group consisting of polyacrylates, polyurethanes, polyurethane-acrylates, styrene-acrylic copolymers, nitrocellulose and ethylcellulose.

Aqueous ink jet formulations containing polyacrylics, polyacrylates, polyurethanes or polyurethane-acrylates are particularly preferred.

In the compositions according to the present invention the amounts of components (a) and (b) and where applicable (c) are within wide ranges.

Preferred compositions contain 0.01 to 70.0 %, more preferably 0.05 to 30 % and in particular 0.1 to 10.0 % of component (a) and 30.0 to 99.99 %, more preferably 70.0 to 99.95 % and in particular 90.0 to 99.9 %, by weight (b), based on the total amount of components (a) + (b).

High amounts of component (a) are usually well-suited for the ink concentrate in solvent-based applications.

The amount of component (c) depends on the printing application which determines the required viscosity and fixation properties to the substrate. Preferably, the amount of component (c) is 0.5 to 70 %, more preferably in particular 1 to 30 %, by weight, based on the total amount of components (a) + (b) + (c).

Further ingredients which may be present in the ink compositions according to the invention are e. g. natural thickeners, dyes, pigments, optical brighteners, acids, bases and/or salts to adjust the pH to the desired value or cationic surfactants, antifoaming and antifrosting agents, biocides, bactericides, electrolytes, humectants, and fixing agents.

Especially preferred are compositions according to the invention additionally containing (d) one or more colorants.

Suitable colorants are the well-known pigments and dyes including mixtures of different pigments and dyes.

The ink compositions according to the invention can be prepared by any suitable method known to those of ordinary skill in the art. For example, the components of the composition can be combined and mixed in a suitable mixer or blender.

The present invention further provides a system for marking items with a hidden mark which can only be revealed by UV exposure comprising a printing means for printing said mark on said items, wherein said printing means employs an ink composition as described above.

The marking system may additionally include a transport system to carry the items to the printing means. For example, items can be carried under the print head of an ink jet printer using a conveyor belt.

The present invention further relates to a method for providing a hidden mark on an object, preferably a textile, comprising applying onto said object an ink composition as described above and removing all or substantially all of the ink by evaporation or absorption into or onto said object.

The hidden mark can also be hidden into a visible mark, either by overprinting onto a visible mark or by using a visible ink formulation, as described in the working examples.

The hidden mark can be made visible by subjecting the object to exciting radiation having a wavelength of from about 365 nm to about 400 nm; the emitted fluorescent radiation is in the visible range, preferably from about 450 nm to about 550 nm, and its emission spectrum contains a sharp emission peak.

The invention can be applied in all customary printing applications like flexographic printing, offset printing, screen printing, transfer printing and textile printing.

A wide variety of substrates can be marked with the fluorescing colorant according to the invention such as textile (cotton, leather, silk, polyamide, polyester, mixed fibers, polyacrylonitrile, lycra), cellulosic materials (wood, paper), plastic materials (polyethylene, polypropylene, polyethylene terephthalate, polybutylene terephthalate), polymers, other substrate coated with a receiving polymeric or oligomeric matrix, or which can be coated with a polymer or oligomer matrix as provided by the binder system (c).

The present invention makes it possible to apply colourless or coloured hidden marks to various colourless, white or dark coloured substrates, which can be revealed under UV exposure. The compositions according to the invention differ from analogous prior art compositions by outstanding luminescence quantum yield, long-lasting luminescence and high luminescence intensity.

The following Examples illustrate the invention.

Ink Composition A: Concentrate of compound XII in 1, 2-propylene glycol 1 g of compound XII (prepared according to WO96/20942) is dissolved in 99 g of 1,2-propyleneglykol under heating at 100 °C for 1 hour. The clear yellow solution is cooled down and after filtration (clarification) provides the stable Ink Composition A which exhibits an intense red fluorescence under UV light. This concentrate can be further used in either solvent based or aqueous based conventional printing formulations for paper, textile, leather, wood, plastic or other compatible substrates.

Example 1: A colourless aqueous ink-jet ink is prepared by diluting 6 parts by weight of Ink Composition A with a standard polyurethane-acrylate aqueous ink-jet ink formulation.

The above described quasi-colourless formulation is printed on white paper, coloured paper, white or coloured textiles and results in a strong red luminescence under UV exposure.

Example 2: A pigmentary yellow aqueous ink-jet ink is prepared by diluting 1 part of Ink Composition A with a commercial polyurethane-acrylate pigmentary yellow aqueous ink-jet ink formulation.

The above-described yellow formulation was printed on white paper, coloured paper, white or coloured textiles and results in a strong red luminescence under UV exposure.

Example 3: A pigmentary red aqueous ink-jet ink is prepared by diluting 3 parts of Ink Composition A with 1 part of a commercial polyurethane-acrylate pigmentary red aqueous ink-jet ink formulation. The above-described red ink is printed on white paper, coloured paper, white or coloured textiles and results in a strong red luminescence under UV exposure.